1	PETER D. KEISLER	
2	Assistant Attorney General SCOTT N. SCHOOLS	
3	Acting United States Attorney R. MICHAEL UNDERHILL (State Bar No. 104986)	
4	Attorney in Charge, West Coast Office Torts Branch, Civil Division	
5	U.S. Department of Justice 7-5395 Federal Bldg., Box 36028	
6	450 Golden Gate Avenue San Francisco, California 94102-3463	
7	Telephone: (415) 436-6648, (415) 436-6646 mike.underhill@usdoj.gov	
8	ELLEN M. MAHAN	
9	Deputy Section Chief STEVE O'ROURKE	
10	Senior Attorney Environmental Enforcement Section	
11	Environment and Natural Resources Division U.S. Department of Justice	
12	P.O. Box 7611 Washington, D.C. 20044	
13	(202) 514-2779 steve.o'rourke@usdoj.gov	
14	Attorneys for Plaintiff United States of America	
15		TDICT COLIDT
16	UNITED STATES DIS	
17	NORTHERN DISTRICT	
18	UNITED STATES OF AMERICA, )	Civil No. C 07-5172 JSW
19	Plaintiff,	IN ADMIRALTY
20	v. )	
	MARITIME LOGISTICS, INC., ALAN) CARPENTER and TRACY RAGSDALE)	CONSENT DECREE
22	CARPENTER, <i>in personam</i> , and M/V MICHAEL) UHL, her engines, apparel, electronics, tackle, boats,)	
23	appurtenances, <i>etc.</i> , <i>in rem</i> , ))	
24	Defendants. )	
25		
26		
27		
28	CONSENT DECREE – No. C 07-5172 JSW	

	Case 3:07-cv-05172-JSW	Document 9	Filed 11/26/2007	Page 2 of 20
2 3	JOSEPH A. WALSH II Keesal, Young & Logan 400 Oceangate P.O. Box 1730 Long Beach, CA 90801-1730 Tel: 562-436-2000 Fax: 436-7416 joe.walsh@kyl.com			
7	MARILYN RAIA Bullivant Houser Bailey, PC 601 California St, Fl 18 San Francisco CA 94108-2823 Tel: 415-352-2700 Fax: 415-352-2701 <u>marilyn.raia@bullivant.com</u>			
10 11	Attorneys for Defendants MARITIMI and M/V MICHAEL UHL, <i>in rem</i>	E LOGISTICS, IN	NC. , in personam,	
12				
13	ALAN NAKAZAWA Cogswell Nakazawa & Chang			
14	444 Ocean Blvd., Suite 1250 Long Beach, CA 90802-4449			
	Tel: 562-951-8668 Fax: 562-951-3933			
	alan.nakazawa@cnc-law.com			
	ROBERT J. WOLFE			
	Engstrom, Lipscomb & Lack 10100 Santa Monica Blvd., 16th Floo	r		
	Los Angeles, CA 90067 Tel: 310-552-3800			
	Fax: 310-552-9434 <u>bwolfe@elllaw.com</u>			
21 22	Attorneys for Defendants ALAN CAL	PENTER and		
22	Attorneys for Defendants ALAN CAI TRACY RAGSDALE CARPENTER	, in personam		
23	//			
25	//			
26	//			
27	//			
28	CONSENT DECREE – No. C 07-517	72 JSW		2

#### I. PARTIES

This Consent Decree is made and entered into by and between Plaintiff, the United States of
America, on behalf of the United States Coast Guard, National Pollution Funds Center, Oil Spill
Liability Trust Fund ("OSLTF"), and the National Oceanic and Atmospheric Administration
("NOAA"); defendant MARITIME LOGISTICS, INC., *in personam*, owner of M/V MICHAEL
UHL; defendant M/V MICHAEL UHL, *in rem*; and defendants ALAN CARPENTER and TRACY
RAGSDALE CARPENTER, *in personam*, owners of P/C ALBION.

8

1

#### II. INTRODUCTION

9 A. In or about January of 2005, the towboat M/V MICHAEL UHL undertook and 10 commenced the tow of P/C ALBION from a port in California.

B. At all relevant times, defendant MARITIME LOGISTICS, INC., was the owner of
defendant M/V MICHAEL UHL, and defendants ALAN CARPENTER and TRACY RAGSDALE
CARPENTER were owners of P/C ALBION.

C. On or about January 30/31, 2005, P/C ALBION, while under tow by M/V MICHAEL
UHL, sank in the waters of the Monterey Bay National Marine Sanctuary ("The Sanctuary"), said
waters comprising waters subject to the National Marine Sanctuaries Act ("NMSA") (formerly the
Marine Protection, Research, and Sanctuaries Act), 16 U.S.C. §§ 1431-45, and also comprising
navigable waters of the United States. The January 30/31, 2005 sinking of P/C ALBION is
hereinafter known as the "Incident."

Plaintiff alleges that the Incident posed a substantial threat of discharge of oil and the 20 D. actual discharge of oil into and upon the navigable waters of the United States, and caused the 21 destruction of and/or caused the loss of and/or caused injury to the Sanctuary and its resources, and 22 posed a potential substantial threat of the release of a hazardous substance into navigable waters of 23 the United States. Plaintiff alleges that the Incident resulted in, inter alia, Response Costs and 24 25 Damages as defined in the Oil Pollution Act of 1990 ("OPA"), 33 U.S.C. § 2701, et seq., the NMSA, and the Comprehensive Environmental Response, Compensation, and Liability Act ("CERCLA"), 26 42 U.S.C. §§ 9001, et seq. 27

28 CONSENT DECREE – No. C 07-5172 JSW

E. Pursuant to OPA, 33 U.S.C. § 2701, *et seq.*, OPA Responsible Parties concerning,
 *inter alia*, vessels from which oil is discharged, or which pose the substantial threat of discharge, into
 or upon the navigable waters or adjoining shorelines or the exclusive economic zone of the United
 States, are strictly liable for all Response costs and damages specified in OPA.

F. Pursuant to the NMSA, 16 U.S.C. §§ 1431-45, any person who destroys, causes the
loss of, or injures any sanctuary resources is liable to the United States for Response Costs and
Damages and injunctive relief.

G. Pursuant to CERCLA, 42 U.S.C. §§ 9001, *et seq.*, CERCLA Responsible Parties
concerning, *inter alia*, vessels from which hazardous substances are discharged, or which pose the
substantial threat of discharge of hazardous substances, into the environment and/or navigable waters
of the United States, are strictly liable for all Response Costs and Damages specified in CERCLA.
H. Concurrent with the lodging of this Consent Decree, the United States is filing a

13 complaint seeking Damages and Response Costs that were and may in the future be caused by the14 Incident, and seeking injunctive relief.

I. NOAA has determined that the removal of the remaining portions of the hull and
equipment of the P/C ALBION may not be feasible. Determination of the feasibility of removal and
assessment of injuries and associated restoration planning are ongoing.

J. Pursuant to this Consent Decree, defendants collectively will pay to the United States
the sum of \$1,600,000.00, as more specifically set out below, to address the claims of the United
States resulting from the Incident.

K. The Parties, without the necessity of trial or adjudication of any issues of fact or law
and without any admission of liability by Defendants agree, and the Court finds, that this Consent
Decree is fair, reasonable, and in the public interest.

24 // 25 //

26 27

28 CONSENT DECREE – No. C 07-5172 JSW

Now, therefore, before the taking of any testimony, without the adjudication or admission
 of any issue of fact or law except as provided in Section III, below, and with the consent of the
 Parties,

IT IS HEREBY ADJUDGED, ORDERED AND DECREED as follows: 4 **III. JURISDICTION AND VENUE** 5 The United States is authorized to bring suit and the Court has jurisdiction pursuant 1. 6 to 28 U.S.C. § 1345, 33 U.S.C. §§ 1321 and 2717, 42 U.S.C. §§ 9613(b) and 9613(e), and 16 U.S.C. 7 §§ 1437 and 1443. 8 2. Venue is properly in this Court pursuant to 28 U.S.C. §§ 1391 and 1395, 33 U.S.C. 9 § 2717, 42 U.S.C. § 9613(b), and 16 U.S.C. § 1443. 10 **IV. APPLICABILITY** 11 The obligations of this Consent Decree apply to and are binding upon Plaintiff and 3. 12 upon Defendants, and each of them, and any of Defendants' successors, assigns, subrogees, 13 subrogors, insurers, or other entities or persons otherwise bound by law. 14 V. DEFINITIONS 15 4. Unless otherwise specified, terms used in this Consent Decree shall have the meaning 16 assigned to them in the NMSA, OPA, and CERCLA, as applicable. Whenever the following terms 17 are used in this Consent Decree, the definitions specified hereinafter shall apply: 18 "CERCLA" means the Comprehensive Environmental Response, Compensation, and a. 19 Liability Act, 42 U.S.C. §§ 9001, et seq.; 20 "Consent Decree" means this Decree herein; b. 21 "CWA" means the Clean Water Act, 33 U.S.C. §§ 1251, et seq.; c. 22 d. "Damages" means any damages recoverable by the United States for injury to, 23 destruction of, loss of, loss of use of, or impairment of natural resources as a result of the Incident, 24 including, but not limited to: (i) the costs of assessing such injury, destruction, or loss or impairment 25 arising from or relating to the Incident; (ii) the costs of restoration, rehabilitation, or replacement of 26 injured or lost natural resources or of acquisition of equivalent resources; (iii) the costs of planning 27 5 28 CONSENT DECREE – No. C 07-5172 JSW

such restoration activities; and (iv) compensation for injury, destruction, loss, loss of use, or
 impairment of natural resources.

e. "Defendants" means MARITIME LOGISTICS, INC., *in personam*, M/V MICHAEL
UHL, *in rem*, and ALAN CARPENTER and TRACY RAGSDALE CARPENTER, *in personam*;
f. "Entry of the Consent Decree" or "Entry" shall occur on the date when this Decree,
after signing by the Judge, is entered in the civil docket under Rule 79(a) of the Federal Rules of
Civil Procedure;

g. "Incident" or "Release Incident" mean the January 30/31, 2005, sinking of P/C
ALBION in the waters of the Monterey Bay National Marine Sanctuary while under tow by M/V
MICHAEL UHL, through and including August 15, 2006, said latter date being the date that the
United States, by and through its representatives and/or response contractors, succeeded in removing
portions of P/C ALBION from the seafloor.

h. "NMSA" means the National Marine Sanctuaries Act, 16 U.S.C. §§ 1431, et seq.;
i. "OPA" means the Oil Pollution Act of 1990, 33 U.S.C. § 2701, et seq.;

j. "Paragraph" shall mean a portion of this Decree identified by an arabic numeral;
k. "Parties" means the UNITED STATES, MARITIME LOGISTICS, INC., *in personam*, M/V MICHAEL UHL, *in rem*, and ALAN CARPENTER and TRACY RAGSDALE
CARPENTER, *in personam;*

19 1. "Plaintiff" or "United States" shall mean the United States of America, including its
 20 departments, agencies and instrumentalities, including, but not limited to, the Coast Guard, NOAA
 21 and the OSLTF;

m. "Response Costs" shall have the meanings ascribed to them, as applicable, pursuant
to Sections 1001(5), 1001(31) and 1002 (b) of OPA, 33 U.S.C. §§ 2701(5), 2701(31) and 2702(b);
sections 101(6), 101(23), 101(25), and 107(a) of CERCLA, 42 U.S.C. §§ 9001(6), 9601(23),
9601(25), and 9607(a); and sections sections 302(6), 302(7), and 312 of the NMSA, 16 U.S.C. §§
1432(6), 1432(7), and 1443;

27

28 CONSENT DECREE – No. C 07-5172 JSW

"Section" shall mean a portion of this Decree identified by a roman numeral; 1 n. 2 "The Defendants' Private Action" means that lawsuit filed by ALAN CARPENTER. о. and TRACY RAGSDALE CARPENTER against MARITIME LOGISTICS, INC., the M/V 3 MICHAEL UHL, in rem, et al., Civil No. 07-cv-0166 DMS (POR), S.D. Cal., and any related 4 counter or cross actions arising between the said Defendants which relate to or arise out of the 5 Incident or towage of the P/C ALBION ("The Defendants' Private Action). 6

7

### VI. SETTLEMENT PAYMENTS

Within thirty (30) days after Entry of the Consent Decree, Defendants collectively 5. 8 shall pay Plaintiff the total settlement sum of \$1,600,000 (One Million, Six Hundred-Thousand 9 Dollars and Zero Cents), said total to be paid in accordance with the separate payments specified in 10 the immediately following sub-paragraphs: 11

(a) The sum of One Million, Two Hundred and Seven Thousand, and Sixty-Four Dollars and 12 Zero Cents (\$1,207,064.00) shall be transferred via Electronic Funds Transfers (EFTs) through the 13 United States Department of Justice for disbursement to the OSLTF (FPN Number A05015) 14 according to instructions to be obtained by defendants from the Dept. Of Justice, Torts Branch, Civil 15 Division. A copy of the paperwork documenting the EFTs, and any accompanying correspondence 16 shall reference DOJ # 62-392 and shall be sent to: 17

R. Michael Underhill 18 Attorney in Charge, West Coast Office Torts Branch, Civil Division 19 U.S. Department of Justice 7th Floor Federal Bldg., Room 7-5395 20 P.O. Box 36028 21 450 Golden Gate Avenue San Francisco, California 94102-3463 22 Fax: 415-436-6632

The sum of Three Hundred and Ninety-Two Thousand, and Nine Hundred-Thirty-Six 23 (b) Dollars and Zero Cents (\$392,936.00) shall be transferred via Electronic Funds Transfers (EFTs) 24 25 through the United States Department of Justice for disbursement to the NOAA Natural Resource Damage Assessment and Restoration Fund, Account Number 13x4316, Project Code 13140001, 26 27 28 CONSENT DECREE – No. C 07-5172 JSW

according to instructions to be obtained by defendants from the Office of the U.S. Attorney, Northern 1 2 District of California, Financial Litigation Unit. The addenda record of that payment shall be annotated "P/C ALBION". A copy of the paperwork documenting the EFTs, and any accompanying 3 correspondence shall reference DOJ # 90-5-1-1-09113 and shall be sent to: 4 5 Chief, Environmental Enforcement Section Attn: Steve O'Rourke 6 United States Department of Justice P.O. Box 7611 7 Washington, D.C. 20044 Fax: 202-514-4180 8 Lisa Symons Damage Assessment and Resource Protection Coordinator 9 National Marine Sanctuary Program 1305 East-West Hwy., SSMC #4, #11606 10 Silver Spring, MD 20910 Fax: 301-713-4306 11 12 Kurt Zimmerman U.S. Dept. of Commerce National Oceanic and Atmospheric Administration Office of the General Counsel 13 501 W. Ocean Blvd. 14 Suite 4470 Long Beach, CA 90802 Fax: 562-980-4065 15 In the event that the Defendants fail to make any of the payments referred to in 6. 16 17 paragraph 5 on time, compound interest shall be assessed on such unpaid payment(s) at the annual rate established pursuant to 31 U.S.C. § 3717 for each day that the payment is late. 18 Any stipulated penalty owing to the United States shall be paid by EFT in accordance 19 7. with instructions to be provided by the Financial Litigation Unit of the U.S. Attorney's Office for 20 the Northern District of California, or by certified or cashier's check in the amount due, payable to 21 the "U.S. Department of Justice," referencing DOJ No. 90-5-1-1-09113, and delivered to the office 22 of the United States Attorney, Financial Litigation Unit, Northern District of California, 450 Golden 23 Gate Avenue, San Francisco, California 94102. Payment of stipulated penalties shall be 24 25 accompanied by transmittal correspondence stating that any such payment is for late payment of amount(s) due under this Decree and shall reference DOJ No. 90-5-1-1-09113 and the case name and 26 number. 27 8 28 CONSENT DECREE – No. C 07-5172 JSW

8. Defendants shall not deduct any stipulated penalty paid under this Section in
 calculating their federal income taxes.

9. In the event that Defendants fail to make the payment referred to in paragraph 5(b)
on time, and in addition to any interest accrued thereon pursuant to Paragraph 6, Defendants shall
pay a stipulated penalty of \$1,000 per day of non-payment.

## VII. EFFECT OF SETTLEMENT

7 10. In consideration of the payments that will be made by Defendants pursuant to this Consent Decree, and effective solely upon Defendants' performance of their payment obligations as 8 set forth in Section VI of this Decree, the United States covenants not to sue and agrees not to assert 9 any civil claims or causes of action against the Defendants pertaining to the Incident for Damages, 10 Response Costs, injunctive relief, and/or penalties pursuant to: the NMSA, 16 U.S.C. § 1443(a)(1) 11 and (a)(2), and 16 U.S.C. § 1437(c) and (d); the CWA, 33 U.S.C. §§ 1251, et seq., including sections 12 33 U.S.C. §1321(b)(6) and (7), as amended by OPA; 33 U.S.C. §§ 1901-12 (Act to Prevent Pollution 13 from Ships); the International Convention for the Prevention of Pollution from Ships of 1973 14 (MARPOL); and/or the Protocol of 1978 Relating to the International Convention for the Prevention 15 of Pollution from Ships (MARPOL Protocol); CERCLA, 42 U.S.C. § 9601, et seq.; the Rivers and 16 Harbors Act of 1899, 33 U.S.C. § 406, et seq., and the general maritime law. 17

18 11. The United States reserves, and this Consent Decree is without prejudice to, all rights
 against the Defendants with respect to all matters not expressly included within Paragraph 10.
 Notwithstanding any other provisions of this Consent Decree, the United States reserves all rights
 against the Settling Defendants with respect to:

a. Claims based on a failure by the Settling Defendants to meet a requirement of this
Consent Decree;

b. Liability for any other costs incurred or to be incurred by the United States that are
not within the definition of Damages;

26 c. Liability for Damages arising out of releases or threatened releases of hazardous
27 substances other than during the Release Incident;

28 CONSENT DECREE – No. C 07-5172 JSW

d. Liability arising from any disposal of hazardous substances by the Settling Defendants
 after the lodging of this Consent Decree; and

e. Criminal liability.

3

Special Reservations. Notwithstanding any other provision of this Consent Decree, 12. 4 the United States reserves the right to institute proceedings against the Defendants in this action or 5 6 in a new action seeking recovery of Response Costs, Damages, or injunctive relief based on: (i) conditions caused by the Incident, unknown to Plaintiff as of the date of lodging of this Consent 7 Decree; or (ii) information received by Plaintiff after the date of lodging of this Consent Decree, and 8 such conditions or information indicate that the Incident has resulted in injury to, destruction of, or 9 loss of Natural Resources of a type or future persistence that was unknown to Plaintiff as of the date 10 of lodging of this Consent Decree, or that these previously unknown conditions or new information 11 indicate that the Response Actions taken to date are not protective of human health or the 12 13 environment.

14 13. Effective upon Entry of the Consent Decree, Defendants, and each of them, and any of Defendants' successors, assigns, subrogees, and subrogors hereby covenant not to sue and agree 15 not to assert any claims or causes of action of any nature or kind against the United States with 16 respect to any and all claims or causes of action arising out of, relating to, or pertaining to this 17 Decree or to the Incident, including, but not limited to, claims or causes of action arising out of, 18 relating to, or pertaining to the Defendants' Private Action, and any claims against the Hazardous 19 Substance Superfund established under CERCLA or the Oil Liability Trust Fund established under 20 OPA, and any claims for contribution under CERCLA or OPA. 21

14. This Decree does not limit or affect the rights of Plaintiff or Defendants against any
third parties, not party to this Decree, nor does it limit the rights of third parties, not party to this
Decree, against Defendants, nor does it limit the rights of the Defendants amongst themselves.

25 15. This Decree shall not be construed to create rights in, or grant any cause of action to,
26 any third party not party to this Decree.

2716.ALAN CARPENTER and TRACY RAGSDALE CARPENTER have filed an action28CONSENT DECREE – No. C 07-5172 JSW10

against MARITIME LOGISTICS, INC. ("The Defendants' Private Action"), and MARITIME 1 2 LOGISTICS, INC., has counterclaimed against ALAN CARPENTER and TRACY RAGSDALE CARPENTER in The Defendants' Private Action, said action pertaining to claims by and between 3 the parties in The Defendants' Private Action with respect to, *inter alia*, the towage and sinking of 4 P/C ALBION and the Incident. This Decree shall not be construed as, or utilized as, any express or 5 implied admission of liability, responsibility, collateral estoppel, or *res judicata* by any of the parties 6 in The Defendants' Private Action vis-a-vis each other with respect to any and all claims and causes 7 of action that are or potentially could be asserted by and between the said parties in any claim, suit 8 or *fora*, including, but not limited to, in The Defendants' Private Action, said parties in The 9 Defendants' Private Action each expressly denying any and all liability vis-a-vis each other. 10

#### VIII. COSTS

12 17. Plaintiff shall be entitled to collect the costs (including reasonable attorneys' fees)
13 incurred in any action necessary to collect any portion of the amounts due under Section VI or any
14 stipulated penalty due but not paid under Section VI, above.

15 18. Subject to Paragraph 17, each Party shall bear its own attorneys fees and costs in this
16 action.

#### IX. NOTICES

18 19. Unless otherwise specified herein, whenever notifications, submissions, or
19 communications are required by this Consent Decree, they shall be made in writing and addressed
20 as follows:

To the United States: 21 R. Michael Underhill 22 Attorney in Charge, West Coast Office Torts Branch, Civil Division 23 U.S. Department of Justice 7th Floor Federal Bldg., Room 7-5395 24 P.O. Box 36028 450 Golden Gate Avenue 25 San Francisco, California 94102-3463 Fax: 415-436-6632 26 Chief, Environmental Enforcement Section 27 28 CONSENT DECREE – No. C 07-5172 JSW

11

	Case 3:07-cv-05172-JSW D	ocument 9	Filed 11/26/2007	Page 12 of 20	
1 2 3	Attn: Steve O'Rourke United States Department of Jus P.O. Box 7611 Washington, D.C. 20044 Fax: 202-514-4180	tice			
4 5 6	Lisa Symons Damage Assessment and Resour National Marine Sanctuary Prog 1305 East-West Hwy., SSMC #4 Silver Spring, MD 20910	ram	Coordinator		
0 7 8 9 10	Fax: 301-713-4306 Kurt Zimmerman U.S. Dept. of Commerce National Oceanic and Atmosphe 501 W. Ocean Blvd. Suite 4470 Long Beach, CA 90802 Fax: 562-980-4065	eric Administra	ation Office of the Ger	neral Counsel	
11	To Defendants:				
12 13 14	JOSEPH A. WALSH II Keesal, Young & Logan 400 Oceangate P.O. Box 1730 Long Beach, CA 90801-1730 Fax: 436-7416				
15 16 17	MARILYN RAIA Bullivant Houser Bailey, PC 601 California St, Fl 18 San Francisco CA 94108-2823 Fax: 415-352-2701				
18 19	Attorneys for Defendants MARI and M/V MICHAEL UHL, <i>in re</i>		TICS, INC. , in persor	nam,	
20 21 22	ALAN NAKAZAWA Cogswell Nakazawa & Chang 444 Ocean Blvd. Suite 1250 Long Beach, CA 90802-4449 Fax: 562-951-3933				
23 24	ROBERT J. WOLFE Engstrom, Lipscomb & Lack 10100 Santa Monica Blvd., 16th Los Angeles, CA 90067	Floor			
25 26 27	Attorneys for Defendants ALAN TRACY RAGSDALE CARPEN	CARPENTE	R and		
27 28	CONSENT DECREE – No. C 07-5172				12

20. Any Party may, by written notice to the other Parties, change its designated notice
 recipient or notice address provided above.

3 21. Notices submitted pursuant to this Section shall be deemed submitted upon mailing,
4 unless otherwise provided in this Decree or by mutual agreement of the Parties in writing.

## X. EFFECTIVE DATE

22. The Effective Date of this Decree shall be the date of the Entry of this Decree by the Court.

## XI. RETENTION OF JURISDICTION

9 23. The Court shall retain jurisdiction over this case until termination of this Decree, for
 10 the purpose of effectuating or enforcing compliance with the terms of this Decree.

# XII. MODIFICATION

24. The terms of this Decree may be modified only by a subsequent written agreement signed by all the Parties. Where the modification constitutes a material change to any term of this Decree, it shall be effective only upon approval by the Court.

# XIII. PUBLIC PARTICIPATION

25. This Decree shall be lodged with this Court for a period of not less than thirty (30) days to allow the opportunity for public notice and comment. The Plaintiffs reserve the right to withdraw from or withhold their consent to this Decree if the comments from the public regarding the Decree disclose facts or considerations indicating that the Decree is inappropriate, improper, or inadequate. Defendants consent to Entry of this Decree without further notice.

26. If for any reason the Court should decline to approve this Consent Decree in the form presented, this agreement is voidable at the sole discretion of any Party and the terms of the agreement may not be used as evidence in any litigation between the Parties.

# XIV. SIGNATORIES/ SERVICE

24 27. Each undersigned representative of Defendants, together with the Dept. Of Justice,
 25 Torts Branch, Civil Division, and the Environment and Natural Resources Divisions of the
 26 Department of Justice, certifies that he or she is fully authorized to enter into the terms and
 27

28 CONSENT DECREE – No. C 07-5172 JSW

5

6

7

8

11

12

13

14

15

16

17

18

19

20

21

22

23

conditions of this Decree and to execute and legally bind the Party or Parties he or she represents to
 this document.

3 28. This Decree may be signed in counterparts, and its validity shall not be challenged
4 on that basis.

5 29. Defendants agree not to oppose the Entry of this Decree by the Court or to challenge 6 any provision of the Decree, unless the consent of Plaintiff has been withdrawn or withheld under 7 the circumstances set forth in Paragraph 25 above, or Plaintiff has notified Defendants in writing that 8 Plaintiff no longer supports or agrees to the Entry of this Decree.

30. Should Plaintiff, after Entry of this Decree, commence an action in this Court or any
other court of competent jurisdiction to enforce any of the terms of this Decree, then, with respect
to such action and only with respect to such action, Defendants agree to accept service of process
by mail of such action and to waive the formal service requirements set forth in Rules 4 and 5 of the
Federal Rules of Civil Procedure and any applicable Local Rules of this Court including, but not
limited to, service of a summons.

### XV. INTEGRATION

31. This Decree constitutes the final, complete, and exclusive agreement and understanding among the Parties with respect to the settlement embodied in the Decree and supersedes all prior agreements and understandings, whether oral or written, concerning the settlement embodied herein. No other document, nor any representation, inducement, agreement, understanding, or promise, constitutes any part of this Decree or the settlement it represents, nor shall it be used in construing the terms of this Decree.

28 CONSENT DECREE – No. C 07-5172 JSW

15

16

17

18

19

20

21

22

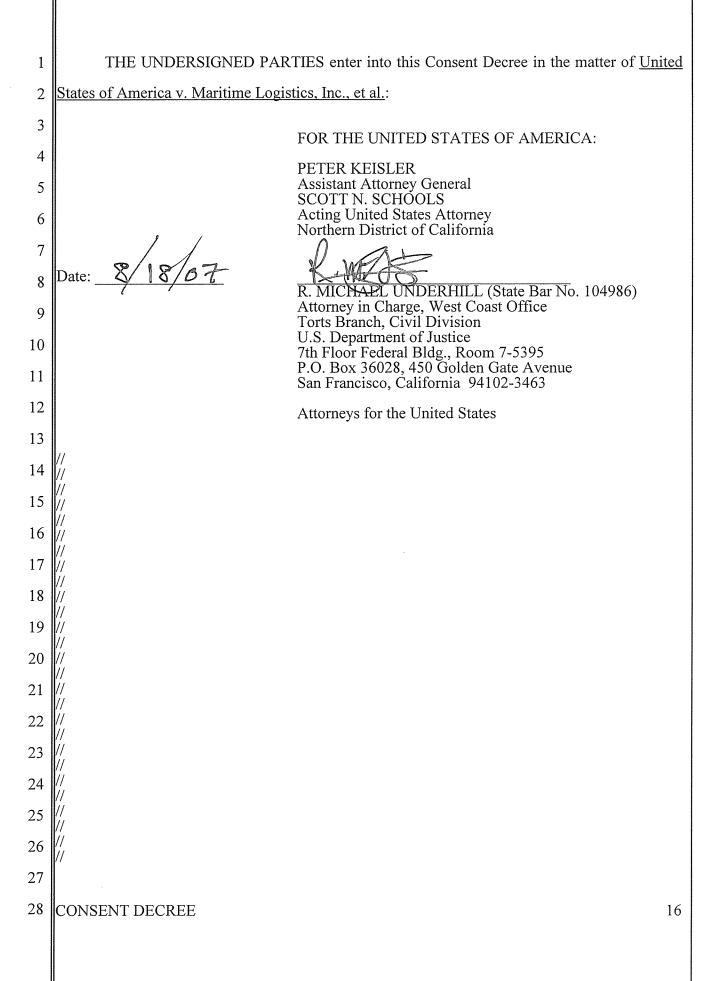
23

24

25

26

	Case 3:07-cv-05172-JSW Document 9 Filed 11/26/2007 Page 15 of 20	
1	XIX. <u>FINAL JUDGMENT</u>	
2	32. Upon approval and Entry of this Decree by the Court, this Decree shall constitute	e a
3	final judgment of the Court, in accordance with Rules 54 and 58 of the Federal Rules of Civ	vil
4	Procedure, as among the Parties.	
5	IT IS SO ORDERED, ADJUDGED, AND DECREED.	
6		
7	Dated: <u>November 26, 2007</u> .	
8	And River	
9	UNTED STATES DISTRICT JUDGE	
10		
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21	//	
22	//	
23	//	
24	//	
25	//	
26		
27		
28	CONSENT DECREE – No. C 07-5172 JSW	15
		1



THE UNDERSIGNED PARTIES enter into this Consent Decree in the matter of United 1 States of America v. Maritime Logistics, Inc., et al.: 2 3 4 Date: 5 LEN M.'MAHAN Deputy Section Chief Environmental Enforcement Section 6 Environment and Natural Resources Division U.S. Department of Justice 7 Washington, D.C. 8 1077 9 Date: OURKE VE O'R 10 Environmental Enforcement Section Environment and Natural Resources Division 11 U.S. Department of Justice Washington, D.C. 12 Attorneys for the United States 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 CONSENT DECREE 17

Case 3:07-cv-05172-JSW 09/11/2007 16:35 FAX Page 18 of 20 Document 9 Filed 11/26/2007 BULLIVANT HOUSER Sep. 10 2007 09:31AM P2 FROM : MARITIME\_LOGISTICS.. ,,, 09/09/2007 18:34 FAX PHONE NO. : 8257728867 THE UNDERSIGNED PARTIES enter into this Consent Decree in the matter of United 1 States of America v. Maritime Logistics. Inc., et al.; 2 3 For Defendants MARITIME LOGISTICS, INC., in 4 personam, and M/V MICHAEL UHL, in rem 5 6 By: 7 FRANK LOVING President, Maritime Logistics, Inc. 8 9 Approved as to form: 10 11 KEESAL, YOUNG & LOGAN 12 13 By: WALSHI SEPH A. 14 15 BULLIVANT HOUSER BAILEY, PC 16 17 18 Bya ARILYN RALA 19 Norma Runne 20 21 22 23 24 25 26 27 18 CONSENT DECREE 28

• 1	THE UNDERSIGNED PARTIES enter into this Consent Decree in the matter of United	
2	States of America v. Maritime Logistics, Inc., et al.:	
3		
4	For Defendant ALAN CARPENTER, in personam	
6	By: ALAN CARPENTER	
7		
9	For Defendant TRACY RAGSDALE CARPENTER, in personam	
10		
11 12	By: FRACY RAGSDALE CARPENTER	
13 14	Approved as to form:	
14	COGSWELL NAKAZAWA & CHANG	
16 17	By:	
18	ALAN NAKAZAWA	
19 20	ENGSTROM, LIPSCOMB & LACK	
21	Ву:	
22 23	ROBERT J. WOLFE	
24		
25 26		
26 27		
28	CONSENT DECREE 19	
	•	
	n	-

1	THE UNDERSIGNED PARTIES enter into this Consent Decree in the matter of United
2	States of America v. Maritime Logistics, Inc., et al.:
3	
4	For Defendant ALAN CARPENTER, in personam
5	For Defendant ALAIN CARFENTER, in personam
6	Bu
7	By:ALAN CARPENTER
8	
9	For Defendant TRACY RAGSDALE CARPENTER, in personam
10	
11	By:
12	TRACY RAGSDALE CARPENTER
13	
14	Approved as to form:
15	COGSWELL NAKAZAWA & CHANG
16	$\partial h$
17	By: ALAN NAKAZAWA
18	
19	ENGSTROM, LIPSCOMB & LACK
20	
21	By A Harris
22	ROBERT J. WOLFE
23	
24	
25 26	
26 27	
27	CONSENT DECREE 19
40	CONSENT DECREE 19