

## ALASKA REGION SUMMARY SETTLEMENT SCHEDULE

<i>VIOLATION</i>	VIOLATION HISTORY – PENALTY AMOUNT		
	FIRST	SECOND	THIRD
<b>PERMIT VIOLATIONS</b>			
1. Federal Fisheries (FFP) and Federal Processor Permits (FPP)			
A. Fishing or processing without a valid FFP or FPP (including failure to have a permit authorizing operations category) if permit or endorsement is renewable	\$750, plus forfeiture of unlawful catch payment of value thereof	\$1,000, plus forfeiture of unlawful catch payment of value thereof	NA
B. Failure to present FFP, FPP, License Limitation, scallop or other limited entry permit on board vessel or at shoreside facility; Failure to present legible permit	Written Warning	\$500	\$1,000
2. License Limitation (LLP), scallop, crab or any other limited entry permit (other than halibut or sablefish)			
A. Fishing, processing or receiving fish without a valid LLP, scallop or crab permit	\$1,250, plus forfeiture of unlawful fish or fish product, payment of value thereof	NA	NA
B. Fishing, processing or receiving IFQ species without legible permit	Written Warning	\$500	\$1,000
3. Fail to update or submit notice of substantive change in permit information			
> FFP and FPP	Fixit	\$300	NA
> All other permits	\$700	\$900	

4. IFQ/CVC or CPC permit holder not on vessel	\$2,000	\$3,000, plus forfeiture of unlawful catch payment of value thereof	\$4,000, plus forfeiture of unlawful catch payment of value thereof
5. Hired master not on named vessel	\$500	\$800	\$1,000
6. Permit holder not at landing site	\$1,000	\$1,500	\$2,000
<b>RECORDKEEPING AND REPORTING VIOLATIONS</b>			
1. Logbooks (Daily Cumulative Production Log; Daily Fishing Log; Halibut Logbook; IFQ/Rationalization logs; Any other logbooks not specifically provided for below)			
A. Fail to log substantive required information in a timely manner (1 count is equal to violation(s) occurring within 1 day – up to 6 days): > Less than 24 hours late > 24 or more hours late	Written Warning \$1,000/day	\$750/day \$1,250/day	\$1,000/day \$1,500/day
B. Clerical error; non-substantive omission(s); failure to log information in a legible manner (1 count is equal to violations occurring within 1 day up to 15 days)	Written Warning	\$100/day	\$300/day
C. Fail to provide information to a processor or registered buyer (up to 6 counts) > Non-substantive (e.g. discard information from harvesters) > Substantive	Written Warning \$600	\$500 \$800	NA

D. Fail to maintain substantive logbook entries (1 count is equal to the violation(s) occurring within 1 weekly reporting period – up to 2 counts)	\$1,000/count	\$1,500/count	\$2,000/count
E. Fail to submit logbooks in timely manner or when requested: > More than 2 weeks late > Missing pages	\$3,000 \$500/page	\$3,250 \$600/page	\$3,500 \$700/page
2. Product Transfer Reports (PTRs), C/P Offload Report, Daily Production Reports, Check-In/Check-out, Vessel Activity Reports, vessel clearance, VMS confirmation number > Fail to accurately complete a report, timely submit a report, to obtain a required clearance/confirmation	\$750/report up to 6 instances	\$1,000/report up to 6 reports	\$1,500/report up to 6 reports
3. Registered Buyer Reports, Shipment Report, Processor Reports > Fail to accurately complete or significantly late submission of a report	\$3,000/report up to 6 instances	\$3,250/report up to 6 instances	\$3,500/report up to 6 instances
4. Fail to retain records for required period			
A. vessels up to 60' LOA	\$500	\$750	NA
B. vessels greater than 60' LOA	\$1,125	\$1,500	\$2,000

<b>DIRECTED FISHING / RETENTION / TIME VIOLATIONS</b>			
1. Retention/delivery of unprocessed groundfish in excess of directed fishing standards in a single calendar year up to > 100% of the allowable bycatch limit, > 1,000 pounds landed weight of rockfish (including sablefish) allowable bycatch limits, and > 5,000 pounds landed weight of allowable bycatch limit for other species.	Forfeiture of overage or payment of value thereof	Forfeiture of overage or payment of value thereof	\$1,000, plus forfeiture of overage or payment of value thereof
2. Retention/delivery of unprocessed groundfish in excess of directed fishing standards in a single calendar year			
i) Between 100% and 200%	\$1,000, plus forfeiture of overage or payment of value thereof	\$1,500, plus forfeiture of overage or payment of value thereof	\$2,000, plus forfeiture of overage or payment of value thereof
ii) Between 200% and 300%	\$5,000, plus forfeiture of overage or payment of value thereof	\$6,000, plus forfeiture of overage or payment of value thereof	\$7,000, plus forfeiture of overage or payment of value thereof
3. Other Directed Fishing Overage			
A. Overage in a single calendar year of the unprocessed allowable groundfish bycatch limit per species delivered to a shoreside processor	Forfeiture of overage or payment of value thereof	Forfeiture of overage payment of value thereof	\$1,500, plus overage payment of value thereof

B. Overage in a single calendar year between 200% and 400% of the allowable groundfish bycatch delivered to a shoreside processor.	\$4,000, plus overage payment of value thereof	\$4,500, plus overage payment of value thereof	\$5,000, plus overage payment of value thereof
C. 10-20 metric ton overage in a single calendar year of processed groundfish on board a processor vessel	\$5,000, plus overage payment or value thereof	\$6,000, plus overage payment of value thereof	\$7,000, plus overage payment of value thereof
D. Retention of groundfish product on board a processor vessel in a single calendar year in excess of the directed fishing standard by overage of less than 5 metric tons of allowable bycatch limit per species	Forfeiture of overage payment of value thereof	Forfeiture of overage payment of value thereof	Forfeiture of overage payment of value thereof
E. Overage in a single calendar year of less than 1,000 pounds (landed weight) of allowable bycatch limit for rockfish species (including sablefish) regardless of percentage	Forfeiture of overage payment of value thereof	Forfeiture of overage payment of value thereof	\$1,500, plus forfeiture of overage payment of value thereof
F. Overage in a single calendar year of less than 5,000 pounds (landed weight) of allowable bycatch limit for species other than rockfish and sablefish, regardless of percentage	Forfeiture of overage payment of value thereof	Forfeiture of overage payment of value thereof	\$1,500, plus forfeiture of overage payment of value thereof
4. Fishing within 1 to 6 hours before the opening or after the closing of a fishery (with IFQ remaining if an IFQ fishery)			
A. Vessels up to 35' LOA	\$4,000	\$5,000	\$8,000
B. Vessels between 35' and 60' LOA	\$8,000	\$10,000	\$13,000
C. Vessels greater than 60' LOA	\$10,000	\$13,000	\$15,000

5. Fishing within 24 hours after the closure of a fishery when value of catch is under \$5,000 (with IFQ remaining if an IFQ fishery)			
A. vessels up to 35' LOA	\$2,000, plus forfeiture of unlawful catch or payment of value thereof	\$2,500, plus forfeiture of unlawful catch or payment of value thereof	\$3,000, plus forfeiture of unlawful catch or payment of value thereof
B. vessels between 35' and 60' LOA	\$2,500, plus forfeiture of unlawful catch or payment of value thereof	\$3,000, plus forfeiture of unlawful catch or payment of value thereof	\$4,000, plus forfeiture of unlawful catch or payment of value thereof
C. vessels greater than 60' LOA	\$4,000, plus forfeiture of unlawful catch or payment of value thereof	\$5,500, plus forfeiture of unlawful catch or payment of value thereof	\$7,000, plus forfeiture of unlawful catch or payment of value thereof
6. Pollock Trip limit overage occurring within a calendar year  > less than or equal to 10% (cont. on next page)	Forfeit overage or payment of value thereof	Forfeit overage or payment of value thereof	Forfeit overage or payment of value thereof

> Greater than 10% up to 25%	\$2,000, plus forfeiture of overage or payment of value thereof	\$2,500, plus forfeiture of overage or payment of value thereof	\$3,000, plus forfeiture of overage or payment of value thereof
7. IR/IU – Discard pollock harvested during a pollock directed fishery	\$3,500	\$4,000	\$4,500
<b>8. IFQ, CDQ and RATIONALIZATION PROGRAMS</b>			
A. Retain IFQ halibut in excess/under size limit	\$200/fish up to 20, plus forfeiture of unlawful halibut	\$300/fish, plus forfeiture of unlawful halibut	\$400/fish, plus forfeiture of unlawful fish
B. Mutilate halibut	Forfeiture of mutilated halibut	Forfeiture of mutilated halibut	\$200/pound, plus forfeiture of unlawful fish
C . Retain IFQ species without a valid IFQ permit			
i. 300 pounds or less of halibut	\$2,000, plus forfeiture of halibut payment of value thereof	\$3,000, plus forfeiture of halibut payment of value thereof	NA
ii. 100 pounds or less of sablefish	\$2,000, plus forfeiture of sablefish payment of value thereof	\$3,000, plus forfeiture of sablefish payment of value thereof	NA

<b>PROHIBITED AND PROTECTED SPECIES VIOLATIONS</b>			
1. Fail to carefully release prohibited species (not more than 6 per trip)	Written Warning	\$1,250	\$1,500
2. Enter Walrus or Steller Sea Lion protection area	\$1,000	\$1,500	\$2,000
3. Operating in Walrus, Steller Sea Lion protection, habitat conservation area, or other protection area (limited to one day or fishing trip)			
i) Fish in the area	\$2,500, plus forfeiture of unlawful catch of payment of value thereof	\$4,000, plus forfeiture of unlawful catch payment of value thereof	\$6,000, plus forfeiture of unlawful catch payment of value thereof
ii) Operate in the protection area	Written Warning	\$500	\$1,000
4. Level A/B Harassment (e.g. feeding, teasing, disturbing, etc.) of a marine mammal (Steller Sea Lion, Orca or Harbor Seal) associated with charter, cruise ship or commercial fishing operations	Written Warning	\$600	\$1,000
5. Fail to display decal or to carry certificate on board vessel or person	Written Warning	\$100	\$150
6. Approach a marine mammal closer than 100 yards	Written Warning	\$650	NA
7. Retention of salmon that is processed, frozen, landed or intentionally segregated from other catch	\$225/salmon up to 35, plus forfeiture of salmon	\$350/salmon up to 35, plus forfeiture of salmon	NA



8. Retention of halibut this is processed, frozen, landed or intentionally segregated from other catch (300 pounds or less)	\$1,000, plus forfeiture of halibut	\$1,500, plus forfeiture of halibut	\$2,000, plus forfeiture of halibut
9. Retention of Pacific cod and/or rockfish that are processed, frozen, landed or intentionally segregated from other catch (up to 5,000 pounds - round weight)	\$1/pound, plus forfeiture of prohibited species	\$1.5/pound, plus forfeiture of prohibited species	\$2/pound, plus forfeiture of prohibited species
10. Retention of prohibited species other than halibut, salmon, Pacific cod or rockfish that are processed, frozen, landed or intentionally segregated from other catch (up to 1,200 pounds – round weight)	\$3.75/pound, plus forfeiture of prohibited species	\$4.50/pound, plus forfeiture of prohibited species	\$5.25/pound, plus forfeiture of prohibited species
<b>OBSERVER VIOLATIONS</b>			
1. Fail to have on board a current vessel safety decal	Written Warning	\$500	\$1,000
2. Fail to notify observer, observer provider or NMFS prior to haulback, offloading, receipt or transfer of fish, or of planned operations (e.g. register a fishing trip, salmon count/sampling crew entering fish tank/bin, fish removals or additions to bin, etc.)	\$1,000/instance up to 3 instances	\$1,500/instance up to 3 instances	\$2,000/instance up to 3 instances
3. Fail to meet required observer coverage, if: > Vessel is subject to partial coverage requirement	\$1,000/day up to 10 days	\$1,500/day up to 10 days	\$2,000/day up to 10 days

4. Presort or discard any catch prior to giving observer opportunity to sample or prior to completing sampling;	\$2,500 per documented fish or incident up to 6 incidents	\$3,000 per documented fish or incident up to 6 incidents	\$4,000 per documented incident up to 6 incidents
5. Allowing salmon to pass beyond the last point where initial sorting occurs;  Begin sorting catch before the observer has completed counting and collecting samples/data from previous haul	\$2,500 per documented incident up to 2	\$3,000 per documented incident up to 2	\$4,000 per documented incident up to 2
6. Require observer to perform duties normally performed by crew members (up to two violations)	\$1,000/violation	\$1,250/violation	\$1,500/violation
7. Fail to provide appropriate accommodation	\$1,500	\$1,750	\$2,000
8. Fail to allow observer access to area, catch, equipment or records, or to provide required records (up to two instances)	\$2,000/instance	\$2,500/instance	\$3,000/instance
9. Deploy an observer for more than 90 days (up to 5 days in each calendar year)	\$1,500/day	\$2,000/day	\$2,500/day
10. Transfer an observer before the observer has completed sampling/transmission duties (up to two instances)	\$500/instance	\$800/instance	\$1,000/instance
11. When crew enters the tank/bin, fail to stop flow of fish between the tank/bin and the observer sampling location	\$1,000/instance up to 3 instances	\$1,500/instance up to 3 instances	\$2,000/instance up to 3 instances

12. Within a single deployment, deploy an Observer on more than 4 vessels	\$2,000 per vessel over 4	\$2,500 per vessel over 4	\$3,000 per vessel over 4
<b>COMMERCIAL EQUIPMENT and GEAR VIOLATIONS (e.g., vessel monitoring system, seabird avoidance, fishing gear configuration, gear marking)</b>			
1. Fail to accurately conduct daily flow scale test	\$2,000/day (up to 3 days)	\$2,500/day (up to 3 days)	\$3,000/day (up to 3 days)
2. Improper or no buoy markings	Written Warning	\$375 for each buoy up to 5 buoys	\$500 for each buoy up to 5 buoys
3. Use of non-compliant pot gear	\$375 for each pot up to 5 pots	\$500 for each pot up to 5 pots	\$1000 for each pot up to 5 pots
<b>4. Seabird Avoidance Gear</b>			
A. Fail to set second streamer line; Fail to set seabird avoidance gear (for vessels with gear on board); Fail to set complaint seabird avoidance gear; Fail to deploy line at proper distance;	Written Warning	\$375 for each set up to 5 sets	\$500 for each set up to 5 sets
<b>5. Fish Bins</b>			
A. Fail to obtain valid bin certification	\$5,000	NA	NA
B. Fail to submit copy of bin certification	\$2,500	\$3,000	NA
C. Fail to accurately or permanently mark bins or to provide adequate lighting for the viewing marks	\$750	\$1,000	\$1,250
<b>6. Halibut</b>			
A. Possess 300 pounds or less of halibut taken with unlawful gear	\$375, plus forfeiture of halibut	\$1,000, plus forfeiture of halibut	\$1,500, plus forfeiture of halibut
B. Possess halibut while carrying onboard any trawl nets or fishing pots capable of catching halibut	Written Warning	\$750	\$1,000

<b>7. Vessel Monitoring System</b>			
A. VMS not operating (less than 12 hours)	\$750	\$1,000	\$1,500
<b>COMMERCIAL LANDING AND PROCESSING VIOLATIONS</b>			
1. Fail to offload all fish or fish product > from a catcher vessel before using as a tender > in an IFQ landing	Written Warning \$1,000	\$750 \$1,500	\$1,000 \$2,000
2. Fail to report tagged halibut to IPHC personnel at time of landing	\$500	\$1,000	\$1,500
3. Halibut and Sablefish IFQ or CDQ overages in a single calendar year; Possession or sale of IFQ or CDQ species with no remaining IFQ or CDQ for vessel or area			
A. 10.1-33% and exceeding 1000 pounds, and for overages up to 1000 pounds regardless of percentage	Forfeiture of proceeds or return of proceeds of all IFQ exceeding 100% of IFQ available at the beginning of the trip	Forfeiture of proceeds or return of proceeds of all IFQ exceeding 100% of IFQ available at the beginning of the trip	Forfeiture of proceeds or return of proceeds of all IFQ exceeding 100% of IFQ available at the beginning of the trip

B. 33.1-66% and exceeding 1000 pounds	\$1/pound of overage, plus forfeiture of proceeds or return of proceeds of all IFQ exceeding 100% of IFQ available at the beginning of the trip	\$1.5/pound of overage, plus forfeiture of proceeds or return of proceeds of all IFQ exceeding 100% of IFQ available at the start of the trip	\$2/pound of overage, plus forfeiture of proceeds or return of proceeds of all IFQ exceeding 100% of IFQ available at the start of the trip
C. 66.1-100% and exceeding 1000 pounds	\$1.5/pound of overage, plus forfeiture of proceeds or return of proceeds of all IFQ exceeding 100% of IFQ available at the beginning of the trip	\$2/pound of overage, plus forfeiture of proceeds or return of proceeds of all IFQ exceeding 100% of IFQ available at the beginning of the trip	\$2.25/pound of overage, plus forfeiture of proceeds or return of proceeds of all IFQ exceeding 100% of IFQ available at the beginning of the trip

4. Crab IFQ Overages in a single calendar year; Possession or sale of IFQ species by quota share holder with no remaining IFQ or CDQ for vessel or area			
A. Exceed remaining balance of IFQ $\leq$ 3%	Forfeiture of overage payment of value thereof	Forfeiture of overage payment of value thereof	Forfeiture of overage payment of value thereof
B. Exceed remaining balance of IFQ by more than 3% but no more than 5%	\$1,000, plus forfeiture of overage payment of value thereof	\$1,000, plus forfeiture of overage payment of value thereof	\$1,500, plus forfeiture of overage payment of value thereof
C. Exceed remaining balance of IFQ by more than 5% but no more than 50%	\$2,000, plus forfeiture of overage payment of value thereof	\$2,250, plus forfeiture of overage payment of value thereof	\$2,250, plus forfeiture of overage payment of value thereof
5. Exceed remaining balance of IPQ by $\leq$ 3% in a single calendar year	\$2,000, plus forfeiture of excess production payment of value thereof (product value)	\$2,500, plus forfeiture of excess production payment of product value	\$3,000, plus forfeiture of excess production payment of product value
6. IFQ Prior Notice of Landing and Landing Reports			
A. Make an IFQ landing without prior notice of landing	\$1,000	\$1,500	\$2,000
B. Fail to make an IFQ landing within required time after prior notice of landing	\$1,050	\$1,500	\$2,000
C. Fail to submit IFQ landing report in timely manner (less than 12 hours late)	\$2,000	\$3,000	\$4,000

D. Fail to submit accurate information in a prior notice of landing or shipment report	\$1,000	\$1,500	\$2,000
7. Fail to record required information on fish ticket	\$750	\$1,000	\$1,500
8. Unreported IFQ species ≤ 50 pounds (deadloss, homepacks, crew meals, etc.)	\$500, plus forfeiture of fish	\$800, plus forfeiture of fish	\$1,500, plus forfeiture of fish
9. Exceed vessel cap or sideboard ≤ 5%	Forfeiture of excess catch or payment of value thereof	\$1/pound of overage, plus forfeiture of catch payment of value thereof	NA
<b>HALIBUT SPORT, CHARTER AND SUBSISTENCE VIOLATIONS</b>			
<b>SPORT FISHING</b>			
1. Sport fishing during closed season	\$200, plus forfeiture of halibut	\$500, plus forfeiture of halibut	\$1,000, plus forfeiture of halibut
2. Use of unlawful gear	Forfeiture of halibut	\$500, plus forfeiture of halibut	\$1,000, plus forfeiture of halibut
3. Over daily bag or possession limit	\$200, plus forfeiture of halibut over limit	\$500, plus forfeiture of halibut over limit	\$1,000, plus forfeiture of halibut over limit

4. Mutilation/Chunking	\$200, plus forfeiture of mutilated halibut	\$500, plus forfeiture of mutilated halibut	\$750, plus forfeiture of mutilated halibut
5. Possession of sport catch on vessel with commercial or subsistence catch on board	\$200/halibut up to 33 halibut, plus forfeiture of sport-caught halibut	\$500/halibut up to 33 halibut, plus forfeiture of sport-caught halibut	NA
<b>SUBSISTENCE FISHING</b>			
1. Fishing for halibut without a SHRC, but would qualify for SHRC	Verbal Warning	Written Warning	\$500
2. Fail to present SHRC	Verbal Warning	Written Warning	\$100
3. Fishing for halibut without a SHRC, and would not qualify for SHRC	Forfeiture of halibut	\$500, plus forfeiture of halibut	\$1,000, plus forfeiture of halibut
4. Exceeding daily bag or possession limit	\$200, plus forfeiture of halibut exceeding daily personal limit	\$500, plus forfeiture of halibut exceeding daily personal limit	\$1,000, plus forfeiture of halibut exceeding daily personal limit
5. Mutilation of Halibut	\$200, plus forfeiture of all mutilated halibut	\$500, plus forfeiture of mutilated halibut	\$1,000, plus forfeiture of mutilated halibut



6. Using more hooks than allowed on subsistence gear > up to 200% in excess of allowable hooks > More than 200% of allowable hooks	Written Warning Written Warning	\$500 \$800	\$1,000 \$1,500
7. Fishing with improperly marked buoys	Fixit Ticket	\$375	\$500
8. Fishing in an unauthorized area; Anchor a vessel with halibut on board within unauthorized area	Written Warning	Forfeiture of all halibut	\$500, plus forfeiture of all halibut
9 Non-qualified person applied for SHRC	Written Warning plus revocation of SHRC	\$300, plus revocation of SHRC	N/A
<b>HALIBUT CHARTER VIOLATIONS</b>			
1. Fail to submit ADF&G Saltwater Sport Fishing Charter Trip logbook in timely manner (up to 30 days late in a calendar year)	\$500	\$800	\$1,000
2. Charter vessel crew or guide catching and retaining halibut	\$500	\$1,000	\$1,750
3. Fail to sign or enter accurate logbook data sheet (angler); fail to enter accurate catch information or ensure angler signs logbook data sheet (guide)	\$500/angler	\$1,000/angler	\$1,500/angler
4. Exceed line limit	Written Warning	\$500/line	\$1,000/line

5. Exceed sport bag limit (joint & several for angler and guide)	\$200, plus forfeiture of halibut exceeding limit	\$500/fish, plus forfeiture of halibut exceeding limit	\$1,000/fish, plus forfeiture of halibut exceeding limit
6. Retain halibut in violation of size limitations	Written Warning, plus forfeiture of halibut exceeding limit	\$300/fish, plus forfeiture of halibut exceeding limit	\$500/fish, plus forfeiture of halibut exceeding limit
7. Fishing during closed season (guide)	\$225/angler up to 22 anglers, plus forfeiture of all halibut (by both anglers and guide)	NA	NA
8. Fail to retain halibut carcass if required	WW	\$300 per halibut	NA
9. Exceeding CHP angler endorsement	\$300 per angler	\$600 per angler	NA
Fail to begin or end charter halibut fishing trip in CQE community for which permit is valid	WW	\$300 per angler	NA
Charter halibut fishing in Area 2C and 3A on same fishing trip	\$200/angler	NA	NA
<b>GENERAL CHARTER and SUBSISTENCE HALIBUT REQUIREMENTS</b>			
1. Fail to report tagged halibut to IPHC personnel at time of landing	Written Warning	\$500	\$800