MOLATION	VIOLATION HISTORY PENALTY AMOUNT		
VIOLATION	FIRST	SECOND	THIRD
VIOLATIONS REGARDING GEAR			
All violations. See Also Summary Settlement and Fix It Notice Penalty Schedules.	\$400-\$10,000, plus FMV	\$500-\$50,000, plus FMV, and/or permit sanction up to 90 days	\$1,000-\$50,000, plus FMV and/or permit sanction up to 180 days or revocation, and/or vessel seizure
VIOLATIONS REGARDING FALSIFICATION OF INFORMATION OR FAILURE TO FILE INFORMATION			
Falsify or fail to file information.	\$600-\$2,500	\$800-\$5,000	\$1,000-\$10,000
	See Fix It Penalty Schedule	See Summary Settlement schedule	See Summary Settlement Schedule
Make a false statement on an application for issuance, renewal, transfer, vessel registration, or replacement of a limited entry permit.	Penalties and sanctions to fit facts and circumstances of particular cases	Penalties and sanctions to fit facts and circumstances of particular cases	Penalties and sanctions to fit facts and circumstances of particular cases
VIOLATIONS REGARDING INTERFERENCE WITH ENFORCEMENT			

VIOLATION	VIOLATION HISTORY PENALTY AMOUNT		
VIOLATION	FIRST	SECOND	THIRD
All Violations	\$5,000-\$15,000	\$10,000-\$50,000, and/or permit sanction up to 90 days	\$25,000-\$50,000, and/or permit sanction up to 180 days or revocation, and/or vessel seizure
VIOLATIONS REGARDING OVERAGES, INCLUDING NONPERMITTED FISHING			
Overages exceeding the trip limit by more than 25%, or more than 500 lbs, whichever is greater.	\$1,500-\$2,500, plus FMV See Summary Settlement Schedule for	\$2,500-\$5,000, plus FMV See Summary Settlement Schedule for	\$5,000+, plus FMV See Summary Settlement Schedule for minor violations
Take and retain, possess, or land more groundfish than specified under §660.321, §660.323, or under and EFP. Overages at or below 25% of the trip limit or 500lbs, whichever is greater.	minor violations $SS = 2xFMV, \text{ or if the } $ violation is self-reported then $SS = FMV$	minor violations $SS = 2xFMV, \text{ or if the } $ violation is self-reported then $SS = FMV$	SS = 2xFMV, or if the violation is self-reported then $SS = FMV$

VIOLATION	VIOLATION HISTORY PENALTY AMOUNT		
VIOLATION	FIRST	SECOND	THIRD
Take and retain, possess, or land groundfish in excess of the landing limit for the open access fishery without having a valid limited entry permit for the vessel affixed with a gear endorsement for the gear used to catch the fish.			
Overages at or below 25% of the trip limit or 500lbs, whichever is greater.	SS = 2xFMV, or if the violation is self-reported then $SS = FMV$	SS = 2xFMV, or if the violation is self-reported then $SS = FMV$	SS = 2xFMV, or if the violation is self-reported then $SS = FMV$
Fishing without a sablefish endorsement during the "regular" or "mop-up" season.	\$1,000-\$10,000, plus FMV	\$10,000-\$50,000, plus FMV	\$50,000-\$120,000, plus FMV, and/or seizure of vessel
Fishing within a closed area, including fishing in a groundfish conservation area, or in a closed fishery, or during a closed period.	\$5,000-\$20,000, plus forfeiture of the fish or FMV, and/or permit sanction up to 90 days	\$20,000-\$50,000, plus forfeiture of the fish or FMV, and/or permit sanction up to 365 days	\$30,000-Statutory Maximum, plus forfeiture of the fish or FMV, and/or permit sanction up to revocation, and/or vessel seizure
VIOLATIONS REGARDING VESSEL MONITORING SYSTEMS (VMS)			

VIOLATION	VIOLATION HISTORY PENALTY AMOUNT		
VIOLATION	FIRST	SECOND	THIRD
Fish without operating and maintaining a required VMS unit on board the vessel, or tamper with, damage, alter, etc., a required VMS unit or VMS signal.	\$5,000-\$15,000 per day, plus the catch or FMV, and/or permit sanction up to 90 days	\$10,000-\$50,000 per day, plus the catch or FMV, and/or permit sanction up to 365 days	\$20,000-Statutory Maximum per day, plus the catch or FMV, and/or permit sanction up to revocation, and/or seizure of the vessel
Fail to contact NMFS OLE or follow OLE instructions when VMS signal is interrupted.	\$500-\$5,000 per day, plus the catch or FMV	\$500-\$5,000 per day, plus the catch or FMV, and/or permit sanction up to 90 days	\$5,000-\$50,000 per day, plus the catch or FMV, and/or permit sanction up to 365 days
VIOLATIONS REGARDING OBSERVERS			
Fish for, land or process groundfish without a required observer.	\$5,000-\$15,000, plus forfeiture of the fish or FMV	\$10,000-\$25,000, plus forfeiture of the fish or FMV, and/or permit sanction up to 90 days	\$20,000-\$50,000, plus forfeiture of the fish or FMV, and/or permit sanction up to 365 days

MOLATION	VIOLATION HISTORY PENALTY AMOUNT		
VIOLATION	FIRST	SECOND	THIRD
Violations against an observer, e.g., assault, interference, harassment, tampering with observer's equipment, etc.	\$5,000-\$25,000, plus forfeiture of the fish or FMV, and/or permit sanction up to 90 days	\$10,000-\$50,000, plus forfeiture of the fish or FMV, and/or permit sanction up to 365 days	\$50,000-Statutory Maximum, plus forfeiture of the fish or FMV, and/or permit sanction up to revocation
Fail to provide departure or cease fishing reports.	\$1,000-\$5,000	\$5,000-\$10,000	\$10,000-\$25,000
Fail to maintain safe conditions on the vessel for protection of observers.	\$1,000-\$25,000	\$10,000-\$50,000, and/or permit sanction up to 90 days	\$15,000-Statutory Maximum, and/or permit sanction up to 365 days
Fail to meet vessel responsibilities, other than maintaining safe conditions.	\$1,000-\$10,000	\$5,000-\$25,000	\$10,000-\$50,000, and/or permit sanction up to 90 days
OTHER VIOLATIONS			
Sell, offer to sell, or purchase any groundfish taken in the course of recreational groundfish fishing.	\$100/fish, plus forfeiture of the fish	\$200/fish, plus forfeiture of the fish	\$300/fish, plus forfeiture of the fish

VIOLATION	VIOLATION HISTORY PENALTY AMOUNT		
VIOLATION	FIRST	SECOND	THIRD
Retain any prohibited species, as defined in 50 CFR §660.323(c), caught by means of fishing gear authorized under this part unless authorized by 50 CFR 600.	\$400/fish, plus forfeiture of the fish	\$600/fish, plus forfeiture of the fish	\$800/fish, plus forfeiture of the fish
	See also Summary Settlement Schedule	See also Summary Settlement Schedule	See also Summary Settlement Schedule
Falsify or fail to affix and maintain vessel and gear markings as required by regulation.			
- Falsify	\$1,000-\$2,500	\$2,500-\$5,000	\$5,000-\$10,000
- Failure to affix or maintain		\$600	\$800
	See Fix It Schedule	See also Summary Settlement Schedule	See also Summary Settlement Schedule
Fail to sort, prior to the first weighing after offloading, those groundfish species or species groups for which there is a trip	\$1,000-\$2,500	\$2,000-\$5,000	\$3,000-\$10,000
limit, size limit, quota, or harvest guideline.	See also Summary Settlement Schedule		

VIOLATION.	VIOLATION HISTORY PENALTY AMOUNT		
VIOLATION	FIRST	SECOND	THIRD
Illegally process Pacific whiting in the fishery management area.	\$1,000-\$10,000	\$10,000-\$50,000, plus FMV	\$50,000+, plus FMV and seizure of vessel
Illegally take and retain or receive whiting on a vessel in the fishery management area.	\$1,000-\$10,000	\$10,000-\$50,000, plus FMV	\$50,000+, plus FMV and seizure of the vessel
Fail to carry onboard a vessel that vessel's limited entry permit, if required.	Fix It Ticket	\$500-\$1,000	\$2,000-\$4,000
Illegal participation in the mothership or shoreside sector as a catcher vessel that does not process fish.	\$1,000-\$10,000 plus FMV	\$10,000-\$50,000 plus FMV	\$50,000-\$120,000 plus FMV
Illegal operation of a waste processing vessel.	\$1,000-\$5,000	\$5,000-\$20,000	\$10,000-\$50,000
Fail to retain on board a vessel, or provide upon request, copies of any and all reports	\$400-\$1,000	\$800-\$1,500	\$1,500-\$5,000
of groundfish landings as required by state law.	See also Summary Settlement Schedule	See also Summary Settlement Schedule	See also Summary Settlement Schedule

-7-

VIOLATION	VIOLATION HISTORY PENALTY AMOUNT		
VIOLATION	FIRST	SECOND	THIRD
Violate any other provision of this part, the Magnuson Act, any notice issued under this Subpart, or any other regulation or permit promulgated under the Magnuson Act.	Penalties and sanctions to fit facts and circumstances of particular cases	Penalties and sanctions to fit facts and circumstances of particular cases	Penalties and sanctions to fit facts and circumstances of particular cases
Possess, have custody or control of, ship or transport, offer for sale, sell, purchase, land, import, or export any fish or parts thereof taken or retained in violation of the Magnuson Act or any regulation or permit issued under the Magnuson Act.	\$2,500-\$5,000, plus FMV For minor violations, see Summary Settlement Schedule	\$5,000-\$10,000, plus FMV For minor violations, see Summary Settlement Schedule	\$10,000+, plus FMV For minor violations, see Summary Settlement Schedule