**ANTARCTIC MARINE LIVING RESOURCES CONVENTION ACT**  
AMLRCA, 16 U.S.C. 2431 *et seq.*

**SUMMARY SETTLEMENT PILOT PROJECT**

<table>
<thead>
<tr>
<th>VIOLATION</th>
<th>VIOLATION HISTORY - PENALTY AMOUNT</th>
<th>FIRST</th>
<th>SECOND</th>
<th>THIRD</th>
</tr>
</thead>
<tbody>
<tr>
<td>Import or export AMLRs without a valid dealer permit or in violation of the terms of such a permit.</td>
<td></td>
<td>$3,000</td>
<td>Not Available</td>
<td>Not Available</td>
</tr>
<tr>
<td>Fail to comply with terms of the pre-approval process.</td>
<td></td>
<td>$3,000</td>
<td>Not Available</td>
<td>Not Available</td>
</tr>
<tr>
<td>Import/re-export from non-CDS participating nation.</td>
<td></td>
<td>$3,000</td>
<td>Not Available</td>
<td>Not Available</td>
</tr>
<tr>
<td>Provide incomplete or inaccurate information on any document required by regulation.</td>
<td></td>
<td>$3,000</td>
<td>Not Available</td>
<td>Not Available</td>
</tr>
</tbody>
</table>

**ENDNOTES**

1. This summary settlement schedule is to be applied to technical violations of the regulatory prohibitions relating to the implementation of the dealer permit and catch documentation scheme for the Antarctic Marine Living Resources (50 CFR 300.115).

This Summary Settlement schedule is being implemented as a pilot project for all NMFS Regions to determine if a moderate enforcement scheme, in conjunction with efforts to educate the regulated community, can reduce the number of technical violations.
violations of the regulations by inexperienced or neglectful dealers.

2. Authorized officers are instructed to focus the issuance of summary settlement solely for violations where there is no reason to believe that the fish at issue were illegally harvested.

3. If a violation does not appear on this schedule, or does not factually belong under the regulations listed below, the violation has been deemed unsuitable for summary settlement and is to be handled using the Penalty Schedule for the AMLRCA.

4. Do not use this summary settlement schedule for cases where you have reasonable cause to believe that the violation involves any aggravating circumstances in addition to the potential for illegally harvested fish.

5. If an authorized officer has reason to believe that the alleged violator is a repeat offender, or discovers any other violations in addition to those proposed for Summary Settlement below, the authorized officer may opt out of the pilot project and address all violations using the Civil Administrative Penalty Schedule for the AMLRCA.

6. Evaluation of the success/failure of this pilot project will be based, inter alia, on a review of the overall reduction in illegal interactions, as well as a review of the quality of the summary settlements issued and agent experience on a regular basis.

7. References to C.F.R. and statutory section numbers are provided for guidance on where a particular regulatory requirement can be found. The regulatory citation should be checked to ensure accuracy.